

September 16, 2016

**SECURITIES AND EXCHANGE COMMISSION**

SEC Building, EDSA Greenhills  
Mandaluyong City

Attention : **Dir. JUSTINA F. CALLANGAN**  
Corporate Governance and Finance Department

**PHILIPPINE STOCK EXCHANGE, INC.**

3<sup>rd</sup> Floor, PSE Plaza, Ayala Triangle  
Ayala Ave., Makati City

Attention : **Mr. JOSE VALERIANO B. ZUÑO III**  
OIC – Head, Disclosure Department

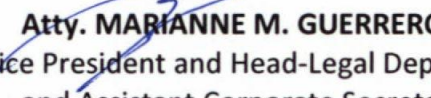
RE : **DIRECTOR & KEY OFFICER CORPORATE GOVERNANCE TRAINING**

Gentlemen:

In compliance with the Commission's Memorandum Circular No. 20, series of 2013, we are submitting herewith a copy of the Certificate of Attendance given to Mr. Jose T. Sio, EVP & CFO of SM Investments Corporation, by SGV & Co., for completing the Seminar on Corporate Governance held on September 14, 2016, at the Antonio Molina Hall, BDO Corporate Center North Tower, Makati City.

Thank you.

Very truly yours,

  
**Atty. MARIANNE M. GUERRERO**  
Senior Vice President and Head-Legal Department  
and Assistant Corporate Secretary

cc: **Ms. Vina Vanessa S. Salonga**  
Head - Issuer Compliance & Disclosure Department  
Philippine Dealing & Exchange Corp.

**SM Investments Corporation**  
10/F One E-Com Center  
Harbor Drive  
Mall of Asia Complex  
1300, Pasay City, Philippines

# Corporate Governance



This

## Certificate of Attendance

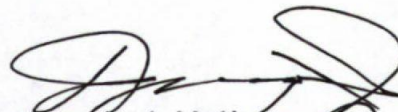
is presented to

**Jose T. Sio**

For having completed the seminar on

## Corporate Governance

14 September 2016  
10:00 am. to 4:00 p.m.  
Antonio Molina Hall,  
BDO Corporate Center North Tower  
Makati City



**Leonardo J. Matignas, Jr.**  
Partner, SGV & Co.

A member firm of Ernst & Young Global Limited



109202016001482



# SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### Barcode Page

The following document has been received:

Receiving Officer/Encoder : Jojit Licudine  
Receiving Branch : SEC Head Office  
Receipt Date and Time : September 20, 2016 12:00:43 PM  
Received From : Head Office

### Company Representative

---

Doc Source

### Company Information

---

SEC Registration No. 0000016342  
Company Name S.M. INVS. CORP.  
Industry Classification FINANCING COMPANY OPERATIONS  
Company Type Stock Corporation

### Document Information

---

Document ID 109202016001482  
Document Type LETTER/MISC  
Document Code LTR  
Period Covered September 16, 2016  
No. of Days Late 0  
Department CED/CFD/CRMD/MRD/NTD  
Remarks